



Report on Activities

Convention on Conventional Weapons Group of Governmental Experts meeting on lethal autonomous weapons systems

United Nations Geneva

9-13 April 2018

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About this report

This report details activities by the Campaign to Stop Killer Robots at the second meeting of the Convention on Conventional Weapons (CCW) Group of Governmental Experts on lethal autonomous weapons systems held at the United Nations (UN) in Geneva on 9-13 April 2018.

The report records the campaign's contributions, reviews the participation of governments in these meetings, and its annexes provide a summary of country views, the campaign's delegation list and a round-up of media coverage.

Campaign coordinator Mary Wareham of Human Rights Watch prepared this report, drawing from statements posted online by the CCW implementation support unit and WILPF's Reaching Critical Will Project. The report also draws on notes of the deliberations taken by Bonnie Docherty of Human Rights Watch and her Harvard Law School's International Human Rights Clinic students Paras Shah and Ann Madding. Allison Pytlak of WILPF's Reaching Critical Will Project also shared her notes of the meeting.

Human Rights Watch interns Laetitia Hua and Kevin Klyman helped to compile this report. Media consultant Sigrid Heiberg provided the annex of media coverage.

The Campaign to Stop Killer Robots is grateful for the generous donor support that enabled us to have a strong presence at the CCW meetings and to conduct outreach in the lead-up and afterwards.

This report is available on the Campaign to Stop Killer Robots website at: www.stopkillerrobots.org/statements

Mary Wareham Washington DC 28 June 2018

Report on Activities

1. Summary Overview

A total of <u>84 countries</u> participated in the April 2018 GGE meeting.¹ Other participants included UN agencies such as UNIDIR, the International Committee of the Red Cross (ICRC), Campaign to Stop Killer Robots, and various academics. This marked the fifth CCW meeting on lethal autonomous weapons systems since 2014 and the second of the Group of Governmental Experts, established in 2016.²

During the meeting, there was significant convergence on the urgent need to negotiate new international law to retain meaningful human control over weapons systems and the use of force. For example, Austria and other states proposed that the CCW agree in November to a mandate to negotiate a legally-binding instrument (i.e. protocol or treaty) on fully autonomous weapons. A group of African states recommended concluding a legally binding instrument "at the earliest" and found that "fully autonomous weapons systems or LAWS that are not under human control should be banned." A working paper submitted to the meeting by the Non-Aligned Movement called for a "legally binding international instrument stipulating prohibitions and regulations on lethal autonomous weapons systems." The campaign invites national statements affirming support for these objectives expressed in group statements.

Virtually all states that spoke during the meeting stressed the necessity of retaining human control over weapons systems and the use of force. The concept of human control, be it meaningful or appropriate or necessary or significant or some other formulation, is now firmly at the heart of the debate over what to do about killer robots. While there are divergent views on exactly what meaningful human control constitutes, such differences are best addressed during negotiations of a new instrument.

During the meeting, the campaign's list <u>of countries calling for a ban on fully autonomous weapons</u> <u>rose to 26</u>, after Austria, China, Colombia, and Djibouti expressed their support for a ban in national statements.³ Most states that previously expressed support for a ban repeated that position during the meeting, particularly Brazil, Chile, Costa Rica, Cuba, Egypt, and Pakistan. At the end of the meeting, China for the first time expressed its desire to negotiate and conclude a new CCW

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¹ According to the list of participants issued by the UN on 24 April, the 84 states attending the April meeting were comprised of 79 high contracting parties (Albania, Algeria, Argentina, Australia, Austria, Belarus, Belgium, Bosnia and Herzegovina, Brazil, Bulgaria, Canada, Chile, China, Colombia, Costa Rica, Croatia, Cuba Czechia, Denmark, Djibouti, Dominican Republic, Egypt, El Salvador, Estonia, Finland, France, Germany, Greece, Guatemala, Holy See, Honduras, Hungary, India, Iraq, Ireland, Israel, Italy, Japan, Jordan, Kazakhstan, Kuwait, Lao PDR, Latvia, Lebanon, Lithuania, Luxembourg, Mexico, Montenegro, Morocco, Netherlands, New Zealand, Nicaragua, Norway, Pakistan, Panama, Peru, Philippines, Poland, Portugal, Qatar, Republic of Korea, Romania, Russian Federation, Saudi Arabia, Senegal, Serbia, Sierra Leone, Slovakia, Slovenia, South Africa, Spain, Sri Lanka, State of Palestine, Sweden, Switzerland, Togo, Turkey, Uganda, UK, US, Venezuela), signatory Egypt, and three non-signatories (Ghana, Myanmar, and Oman).

² Previously, 86 states participated in the last CCW meeting on lethal autonomous weapons in November 2017, while 94 attended in 2016, 90 in 2015, and 87 in 2014.

³ A total of 26 states support the call to ban fully autonomous weapons: Algeria, Argentina, Austria, Bolivia, Brazil, Chile, China, Colombia, Costa Rica, Cuba, Djibouti, Ecuador, Egypt, Ghana, Guatemala, Holy See, Iraq, Mexico, Nicaragua, Pakistan, Panama, Peru, State of Palestine, Uganda, Venezuela, and Zimbabwe.

protocol to prohibit the use of fully autonomous lethal weapons systems. In response to the campaign's queries, the delegation of China <u>stated that it supports</u> banning use of fully autonomous weapons, but not development or production.

During the meeting, France, Israel, Russia, United Kingdom, and United States explicitly rejected any move to negotiate new international law on fully autonomous weapons. Approximately two dozen mostly European states indicated they see no need to conclude new international law at this time.

2. Lead-up to the CCW Group of Governmental Experts Meeting

At the CCW's annual meeting in November 2017, nations agreed to continue a Group of Governmental Experts (GGE) on lethal autonomous weapons systems (LAWS) established in 2016. They approved Ambassador Amandeep Singh Gill of India to continue as GGE chair and scheduled two GGE meetings in 2018, on 9-13 April and 27-31 August. Unlike other GGE processes, a GGE at the CCW is open to all interested states plus UN agencies, the ICRC, and registered NGOs.

There were several events and initiatives on killer robots in the period leading up the April 2018 GGE meeting:

- On 27 November, the Danish Institute for International Studies held a public seminar on autonomous weapons with the GGE chair, CCW staff, SIPRI, and campaign coordinator Mary Wareham, who published an article in *Politiken*.
- On 6 December, 116 scientists working in fields including artificial intelligence, robotics and computer science <u>issued an open letter</u> calling on Belgium to support a ban on weapons systems that lack meaningful human control.
- On 15 February, the high-level Munich Security Conference considered security concerns raised by fully autonomous weapons and artificial intelligence in a panel that included Campaign to Stop Killer Robots coordinator Mary Wareham.
- In a 5 March letter to the Republic of Korea's Minister of Foreign Affairs, Kang Kyung-Wha, the Campaign to Stop Killer Robots <u>urged the government to support the call to ban fully autonomous weapons</u> and address concerns raised by a new "Research Center for the Convergence of National Defense and Artificial Intelligence" opened by KAIST and defense manufacturer Hanwha Systems Co., Ltd.
- In a 13 March letter, the Campaign to Stop Killer Robots <u>invited Google to endorse the call to ban fully autonomous weapons</u> and answer concerns over its involvement in Project Mayen.
- On 27 March, the African Union and African states held a seminar in Geneva to consider greater regional collaboration to address concerns over fully autonomous weapons.
- On 2 April, the Campaign to Stop Killer Robots <u>wrote to the Minister of Defense in France seeking clarification on the country's policy</u> on fully autonomous weapons systems.
- On 7-8 April, the Campaign to Stop Killer Robots held a Steering Committee meeting and strategy and messaging session with campaigners attending the CCW meeting in Geneva.
- On 5 April, after <u>artificial intelligence experts issue a letter calling for a boycott of Korea Advanced Institute of Science and Technology</u> (KAIST), the university's president, Sung-

Chul Shin, <u>issued a statement</u> clarifying that "KAIST does not have any intention to engage in development of lethal autonomous weapons systems."

3. CCW Group of Governmental Experts Meeting

The GGE chair <u>invited states to prepare</u> substantively for the GGE meetings by producing and submitting working papers in advance. The CCW received working papers from <u>Argentina</u>, <u>China</u>, <u>Poland</u>, <u>Russia</u>, <u>United States</u>, the <u>Non-Aligned Movement</u>, and the <u>ICRC</u>. The CCW does not accept working papers from NGOs but its website linked to a Campaign to Stop Killer Robots <u>Briefing Note</u> to guide states (also available in <u>French</u> and <u>Spanish</u>).

The agenda for the April 2018 GGE meeting followed three matters identified by the chair during the first GGE meeting in November 2017: 1) characterization of the systems under consideration in order to promote a common understanding on concepts and characteristics [working definitions] of lethal autonomous weapons systems; 2) human-machine interaction in the development, deployment and use of emerging technologies in the area of lethal autonomous weapons systems; and 3) possible options for addressing the humanitarian and international security challenges posed by lethal autonomous weapons systems.

In accordance with the provisional <u>programme of work</u>, the GGE meeting began on Monday, 9 April with a general exchange of views. There was one panel of invited experts on Thursday morning to review the "potential military applications of related technologies." CCW delegates spent most of the week in a series of three-hour sessions, starting with three sessions on characteristics or definitions of lethal autonomous weapons systems, three sessions on human-machine interaction and a session on the "way ahead" on Friday.

States participating in the April meetings did not take any formal decisions. The aim is for states to agree at the next GGE meeting on a report of the 2018 GGE containing key findings and proposals on the way ahead. Then states will adopt another CCW mandate on lethal autonomous weapons systems at the CCW's annual Meeting of High Contracting Parties on 21-23 November 2018, under the presidency of Ambassador Jānis Kārkliņš of Latvia.

On 25 April, GGE chair Ambassador Gill released a 12-page <u>summary</u> of the April meeting, which he said considered "lethal weapons systems based on emerging technologies related to autonomous intelligent systems." The summary contains the chair's findings from the 12 April expert panel on "potential military applications of related technologies."

The summary describes four broad approaches identified by the chair for the characterization or definition of fully autonomous weapons.⁴ The summary considers "commonalities" among the

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⁴ 1) a separative approach in which "characteristics and concepts not relevant to the objectives and purposes of the CCW are set aside...while gathering the characteristics and concepts that are definitely relevant to the objectives and purposes of the CCW"; 2) a cumulative approach in which "categories of characteristics are added to a master list and then concepts and characteristics therein are evaluated against certain technical, legal-humanitarian or political-security criteria to assess their relevance"; 3) an accountability approach, which "considers a set of characteristics related to the functions and type of decisions handed over to machines, and which avoids using levels of autonomy and other technical characteristics or categories related to the loss of human control"; and 4) a purpose oriented and effect-based approach, which "focuses on desirable and undesirable consequences of possible lethal weapons systems based on emerging autonomous intelligent systems and technologies."

"different terminologies and conceptualisations" on human control shared by delegations. It notes that "regardless of the approach, delegations reaffirmed the essential importance of human control, supervision, oversight or judgement in the use of lethal force" and finds that "the concepts of meaningful human control and human judgment need to be further elaborated and clarified."

The summary affirms the "need for further deepening the engagement in August" on discussion of "pathways" forward. It broadly identifies the following options for states to address the concerns raised by lethal autonomous weapons systems: 1) Negotiate and adopt new international law; 2) Draft and agree on politically binding measures; and 3) Explore transparency and confidence building measures under existing international law. It notes the view that new legally-binding provisions are "necessary for addressing the humanitarian and international security challenges" and finds that such provisions could encompass "prohibitions and restrictions of the type already seen in the context of the objectives and purposes of the CCW."

4. Campaign activities

In the lead-up to the April meeting, campaign coordinator Mary Wareham met with GGE chair Ambassador Gill, CCW president Ambassador Kārkliņš, and key states in Geneva on 12-13 February. The campaign published and distributed its first "Briefing Note," which <u>encouraged states</u> to come to the CCW meeting prepared to:

- 1. Elaborate the key characteristics for a working definition of lethal autonomous weapons systems, which the campaign views as systems operating without meaningful human control in the "critical functions" of identifying, selecting and applying force to targets;
- 2. Identify the relevant "touchpoints" of human/machine interaction through which the necessary human control over weapons systems can be enacted and ensured; and
- 3. Outline the preferred pathway forward, resisting measures that fall short of a legally binding instrument and calling for negotiations to begin.

The Campaign to Stop Killer Robots delegation to the April 2018 CCW meeting consisted of more than two-dozen representatives from Cameroon, Canada, Colombia, Egypt, Finland, Germany, Japan, the Netherlands, South Africa, Spain, Switzerland, UK, and US. (See Annex II)

During the April 2018 CCW meetings, campaign representatives met with delegates from more than 50 countries. The campaign convened two side event briefings during the April 2018 GGE meeting. The first on Monday, 9 April consisted of presentations by representatives from campaign co-founders Article 36, ICRAC, PAX, and WILPF as well as remarks by guest speaker Johan Henrik Andresen, chair of the Council on Ethics of the trillion-dollar Norwegian Government Pension Fund Global. The second on Wednesday, April 11 featured presentations by representatives from HRW and ICRAC and remarks by guest speaker Paul Scharre of the Center for a New American Security (CNAS), author of the new book Army of None: Autonomous Weapons and the Future of War.

The KAIST boycott call attracted media interest in the lead-up to the meeting. Campaign coordinator Mary Wareham and Richard Moyes of Article 36 addressed a media briefing convened by the UN Geneva correspondent's association ACANU on 9 April. On 5 April, the campaign published a 1:09-minute animated film entitled "No country would be safe from fully autonomous weapons and produced by London company Clarity that has since been subtitled into Arabic,

French, Japanese, and Spanish. This and other outreach resulted in significant media coverage. (See Annex III)

Campaign co-founder WILPF's Reaching Critical Will <u>published five editions</u> of its CCW Report newsletter for distribution to CCW delegates. The campaign published a web post <u>before the GGE</u> meeting and at the end of the week.

For more information, please see:

- CCW 2018 GGE website, audio recordings
- WILPF Reaching Critical Will 2018 CCW webpage
- Campaign to Stop Killer Robots website and Flickr site (photos)
- Mines Action Canada daily **YouTube** updates

Annex I: Country Views



Country Views on Killer Robots

21 May 2018

The Campaign to Stop Killer Robots monitors state support for negotiating new international law to address concerns over fully autonomous weapons, including positions on the call for a ban.

Who wants to negotiate new international law on killer robots?

At least 32 states have expressed a desire to negotiate new international law—a new treaty or CCW protocol—to address concerns over fully autonomous weapons:

- 1. Algeria
- 2. Argentina
- 3. Austria
- 4. Bolivia
- 5. Brazil
- 6. Chile
- 7. China*
- 8. Colombia
- 9. Costa Rica
- 10. Cuba
- 11. Djibouti
- 12. Ecuador
- 13. Egypt
- 14. Ghana
- 15. Guatemala
- 16. Holy See
- 17. Iraq
- 18. Kazakhstan
- 19. Mexico
- 20. Nicaragua
- 21. Pakistan
- 22. Panama
- 23. Peru
- 24. Philippines
- 25. Sierra Leone
- 26. South Africa

- 27. Sri Lanka
- 28. State of Palestine
- 29. Uganda
- 30. Venezuela
- 31. Zambia
- 32. Zimbabwe

On 9 April 2018, a group of African states recommended concluding a legally binding instrument "at the earliest" and found that "fully autonomous weapons systems or LAWS that are not under human control should be banned." A March 2018 working paper by the Non-Aligned Movement calls for a "legally binding international instrument stipulating prohibitions and regulations on lethal autonomous weapons systems." The campaign invites national statements affirming support for these objectives.

* China <u>states that its call</u> is to ban the use of fully autonomous weapons, but not their development or production.

Who is opposed to creating new international law on killer robots?

Approximately a dozen states indicate they do not support negotiating new international law on fully autonomous weapons:

Australia

Belgium

France

Germany

Israel

Republic of Korea

Russia

Spain

Sweden

Turkey

United States

United Kingdom

Who wants to ban killer robots?

Twenty-six countries are calling for a prohibition on fully autonomous weapons:

Alphabetical order

- 1. Algeria
- 2. Argentina
- 3. Austria
- 4. Bolivia
- 5 Brazil

- 6. Chile
- 7. China*
- 8. Colombia
- 9. Costa Rica
- 10. Cuba
- 11. Djibouti
- 12. Ecuador
- 13. Egypt
- 14. Ghana
- 15. Guatemala
- 16. Holy See
- 17. Iraq
- 18. Mexico
- 19. Nicaragua
- 20. Pakistan
- 21. Panama
- 22. Peru
- 23. State of Palestine
- 24. Uganda
- 25. Venezuela
- 26. Zimbabwe

Chronological order

- Pakistan on <u>30 May 2013</u>
- Ecuador on 13 May 2014
- Egypt on 13 May 2014
- Holy See on <u>13 May 2014</u>
- Cuba on 16 May 2014
- Ghana on <u>16 April 2015</u>
- Bolivia on 17 April 2015
- State of Palestine on 13 November 2015
- Zimbabwe on 12 November 2015
- Algeria on <u>11 April 2016</u>
- Costa Rica on 11 April 2016
- Mexico on <u>13 April 2016</u>
- Chile on <u>14 April 2016</u>
- Nicaragua on 14 April 2016
- Panama on 12 December 2016
- Peru on 12 December 2016
- Argentina on 12 December 2016
- Venezuela on 13 December 2016
- Guatemala on 13 December 2016
- Brazil on 13 November 2017
- Iraq on 13 November 2017

- Uganda on 17 November 2017
- Austria on 9 April 2018
- China on 13 April 2018
- Djibouti on 13 April 2018
- Colombia on 13 April 2018

Who has spoken on the topic of killer robots?

A total of 85 countries have publicly elaborated their views on lethal autonomous weapons systems in a multilateral forum: 44 states in 2013, eight in 2014, fifteen in 2015, fourteen in 2016, and three in 2017, and one in 2018.

Most states spoke at the Convention on Conventional Weapons as well as the United Nations General Assembly. Almost two-dozen states spoke during the first debate on the topic in May 2013 at the Human Rights Council.

- 1. Algeria on 30 May 2013
- 2. Argentina on 30 May 2013
- 3. Australia on 14 November 2013
- 4. Austria on 30 May 2013
- 5. Bangladesh on 21 October 2016
- 6. Belarus on 14 November 2013
- 7. Belgium on 11 November 2013
- 8. Bolivia on 17 April 2015
- 9. Botswana on 27 October 2015
- 10. Brazil on 30 May 2013
- 11. Bulgaria on 23 October 2014
- 12. Burkina Faso on 23 October 2017
- 13. Cambodia on 13 November 2017
- 14. Cameroon on 12 December 2016
- 15. Canada on 11 November 2013
- 16. Chile on 13 April 2015
- 17. China on 30 May 2013
- 18. Colombia on 17 April 2015
- 19. Costa Rica on 29 October 2013
- 20. Croatia on 15 November 2013
- 21. Cuba on 30 May 2013
- 22. Czech Republic on 13 May 2014
- 23. Denmark on 13 April 2015
- 24. Djibouti on 13 April 2018
- 25. Ecuador on 29 October 2013
- 26. Egypt on 30 May 2013
- 27. Estonia on 31 August 2016
- 28. Finland on 22 October 2014
- 29. France on 30 May 2013
- 30. Germany on 30 May 2013

- 31. Ghana on 14 November 2013
- 32. Greece on 29 October 2013
- 33. Guatemala on 16 May 2014
- 34. Holy See on 14 November 2013
- 35. Hungary on 7 October 2016
- 36. India on 30 October 2013
- 37. Indonesia on 30 May 2013
- 38. Iran on 30 May 2013
- 39. Iraq on 13 November 2015
- 40. Ireland on 29 October 2013
- 41. Israel on 15 November 2013
- 42. Italy on 14 November 2013
- 43. Japan on 29 October 2013
- 44. Jordan on 31 August 2016
- 45. Kazakhstan on 13 November 2015
- 46. Kuwait on 26 October 2015
- 47. Latvia on 21 October 2016
- 48. Lebanon on 26 October 2015
- 49. Lithuania on 14 November 2013
- 50. Madagascar on 14 November 2013
- 51. Mali on 13 May 2014
- 52. Mexico on 30 May 2013
- 53. Moldova, Rep. on 12 December 2016
- 54. Montenegro on 12 December 2016
- 55. Morocco on 30 May 2013
- 56. Myanmar on 10 October 2017
- 57. Netherlands on 29 October 2013
- 58. New Zealand on 30 October 2013
- 59. Nicaragua on 13 November 2015
- 60. Norway on 13 May 2014
- 61. Pakistan on 30 May 2013
- 62. Palestine on 13 November 2014
- 63. Panama on 12 December 2016
- 64. Peru on 12 December 2016
- 65. Philippines on 14 April 2016
- 66. Poland on 13 April 2015
- 67. Portugal on 14 October 2014
- 68. Romania on 26 October 2015
- 69. Russia on 30 May 2013
- 70. Sierra Leone on 30 May 2013
- 71. Slovakia on 12 December 2016
- 72. Slovenia on 12 December 2016
- 73. South Africa on 30 October 2013
- 74. South Korea on 14 November 2013
- 75. Spain on 11 November 2013
- 76. Sri Lanka on 13 April 2015

- 77. Sweden on 30 May 2013
- 78. Switzerland on 30 May 2013 79. Turkey on 14 November 2013
- 80. Ukraine on 14 November 2013
- 81. United Kingdom on 30 May 2013
- 82. United States on 30 May 2013
- 83. Venezuela on 13 December 2016
- 84. Zambia on 17 April 2015
- 85. Zimbabwe on 12 November 2015

Annex II: Campaign Delegation List



Convention on Conventional Weapons
Group of Governmental Experts on lethal autonomous weapons systems
United Nations Geneva
9-13 April 2018

Campaign to Stop Killer Robots, @bankillerrobots

Head of Delegation: Ms. Mary Wareham, @marywareham

Amnesty International, @amnesty

Ms. Rasha Abdul Rahim, @Rasha_Abdul

Article 36, @article36

Mr. Richard Moyes, @rjmoyes

Ms. Maya Brehm, @schoggitaler

Association for Aid and Relief Japan, @aarjapan

Mr Yuki Sakurai

Committee of 100 Finland (Suomen Sadankomitea ry) @sadankomitea

Ms. Minna Vähäsalo, @minnamvahasalo

Facing Finance, @FacingFinance

Mr. Thomas Küchenmeister

Ms. Vanessa Müller

Human Rights Watch, @hrw

Mr. Steve Goose

Ms. Bonnie Docherty

Ms. Mary Wareham

Ms. Paras Shah, @pshah518

Ms. Ann Madding

Mr. Johan Andresen, @ferdowner

Ms. Anne Gerd Haarr

Ms. Sigrid Heiberg, @sigridzh

Ms. Signe Tøra Karsrud

Prof. Toby Walsh, @TobyWalsh

International Committee for Robot Arms Control, @icracnet

Prof. Noel Sharkey, @NoelSharkey

Dr. Peter Asaro, @peterasaro

Dr. Thompson Chengeta, @DrThompsonLaw

Prof. Joaquín Rodríguez Álvarez

Prof. Roser Martínez Quirante

Dr. Steve Wright

Dr. Amanda Sharkey

Mines Action Canada, @MinesActionCan

Mr. Paul Hannon, @PCHannon

Ms. Erin Hunt, @erinlynnhunt

Norwegian Peace Foundation, @Fredslaget

Ms. Lene Grimstad, @LeneGrimstad

PAX, @PAXforpeace

Ms. Miriam Struyk, @MiriamStruyk

Mr. Daan Kayser, @DaanKayser

Mr. Frank Slijper, @FrankSlijper

Project Ploughshares, @ploughshares_ca

Ms. Branka Marijan, @brankamarijan

Protection

Mr. Ayman Sorour, @aymansorour

Pugwash Conferences on Science and World Affairs, @PugwashConfs

Ms. Johanna Friman

SEHLAC

Mr. Camilo Serna, @SehlacOrg

Women's International League for Peace and Freedom, @WILPF @RCW_

Ms. Allison Pytlak, @a pytlak

Ms. Sylvie Ndongmo, @sndongo2005

Ms. Lenka Filipova

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Annex III: CCW Media Coverage



English - in chronological order

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